

**U.S.P.S. EXPRESS MAIL "POST OFFICE TO ADDRESSEE" SERVICE  
DEPOSIT INFORMATION**

Express Mail Label No.: EV 906961828 US

Date of Deposit: September 22, 2006

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln of: Douglas S. Ransom et al.

U.S. Patent Application Serial  
No.: 10/752,467

U.S. Filing  
Date: January 5, 2004

For: SYSTEM AND METHOD FOR SECURING ENERGY  
MANAGEMENT SYSTEMS

BHGL Ref. No.: 6270/105  
PML Ref. No.: 300105

Examiner: P. Rodriguez

Art Unit: 2125

Confirmation No.: 4908

**FIFTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(c), Applicants hereby cite the following reference(s):

**PATENT DOCUMENTS**

No.	Date of Publication	Patentee/Applicant/Assignee
US 5,742,512	04/21/98	Edge et al.

**OTHER ART – NON PATENT LITERATURE DOCUMENTS**

Paul Daigle, "All-Electronic Power and Energy Meters," Analog Dialogue 33-2 (1999 Analog Devices).

"Advanced Utility Metering, Period of Performance: Apr. 23, 2002-Sept. 22, 2002," Architectural Energy Corp. at Boulder Colorado, National Renewable Energy Laboratory, published September 2003.

"Security and Encryption for AMR Communications" presentation at Transmission, Distribution and Metering Conference Apr. 21-24, 2002 at Dallas, Texas by SmartSynch Inc.

Product brochure, S4 IDR SmartMeter by Itron, copyright 2003.

"A Case Study Review of Technical and Technology Issues for Transition of a Utility Load Management Program to Provide System Reliability Resources in Restructured Electricity Markets" by G.H. Weller of Weller Associates under contract to Southern California Edison, dated July 2001.

Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). Applicants respectfully request the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

This Statement is being submitted with a Request for Continued Application, therefore, Applicants believe no fee is due in connection with the filing of this Statement. If this is in error, please charge any fee to the deposit account as indicated in the Transmittal accompanying this Statement.

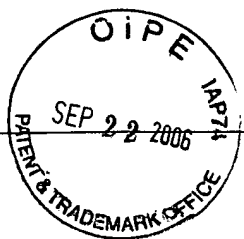
Respectfully submitted,

September 22, 2006  
Date



---

Scott A. Timmerman  
Registration No. 55,678  
Attorney for Applicants



FORM PTO-1449	SERIAL NO. 10/752,467	BHGL REF. NO. 6270/105 PML REF. NO. 300105
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	FILING DATE January 5, 2004	GROUP ART UNIT 2125
APPLICANT: Douglas S. Ransom et al.		

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	F1	US 5,742,512	04/21/98	Edge et al.	

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.</small>	
	F2	Paul Daigle, "All-Electronic Power and Energy Meters," Analog Dialogue 33-2 (1999 Analog Devices).
	F3	"Advanced Utility Metering, Period of Performance: Apr. 23, 2002-Sept. 22, 2002," Architectural Energy Corp. at Boulder Colorado, National Renewable Energy Laboratory, published September 2003.
	F4	"Security and Encryption for AMR Communications" presentation at Transmission, Distribution and Metering Conference Apr. 21-24, 2002 at Dallas, Texas by SmartSynch Inc.
	F5	Product brochure, S4 IDR SmartMeter by Itron, copyright 2003.
	F6	"A Case Study Review of Technical and Technology Issues for Transition of a Utility Load Management Program to Provide System Reliability Resources in Restructured Electricity Markets" by G.H. Weller of Weller Associates under contract to Southern California Edison, dated July 2001.

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.